



**ENTRY FORM
2015 LIQUOR LICENSE LOTTERY**

Completion and filing of this form enters the applicant in the 2015 Liquor License Lottery. Acceptance of this form by the Department of Liquor Licenses and Control does not guarantee that the applicant will be selected to apply for issuance of one or more Series 6 (Bar), Series 7 (Beer & Wine Bar), and Series 9 (Liquor Store) Licenses.

This form is to enter into the 2015 Arizona Liquor License Lottery. An entry fee of \$100 is non-refundable. The completed entry form and fee must be time/date stamped by the Department of Liquor between 8:00 a.m. Monday, May 11, 2015, through 3:00 p.m. Friday, June 5, 2015. Entries received after 3:00 p.m. Friday, June 5, 2015, will not be eligible. Answer all questions completely. For assistance see the *Entry Criteria* on pages 3-5.

TYPE OR PRINT WITH BLACK INK.

SECTION 1 This entry form is for a series:

- Bar License (series 6)
- Beer & Wine Bar (series 7)
- Liquor Store (series 9)

SECTION 2

Type of ownership:

- J.T.W.R.O.S.
- Individual
- Partnership
- Corporation
- Limited Liability Co.
- Other _____

Entry is for what County? _____

- I understand that if I am selected to apply for a 2015 lottery license, I will be required to pay the non-refundable fifty percent (50%) fair market value deposit to the Department of Liquor before 3:00 p.m. Monday, October 5, 2015. Failure to do so will result in automatic disqualification of the selected applicant.
- 2015 lottery payments shall be submitted in the form of a certified bank check.
- I understand that if I am selected to apply for a 2015 lottery license, the balance of the fair market value will be due as outlined in the 2015 Lottery Fees and Due Dates table which is included on this entry form. I have reviewed and understand 2015 Lottery Fees and Due Dates table.
- I understand that, without exception, failure to pay final fees by the required due date will result in forfeiture of the lottery license and any previously submitted fees.

Name: _____
Last First Middle

Phone: _____

Business Name: _____

Business Phone: _____

Location Address: _____
(Where license will be used, if known) Physical Street Address City County Zip

Mailing Address: (Required) _____
Street/PO Box City State Zip

Corporation, LLC or Partnership Only

Corporation, LLC or Partnership Name: _____

LLC/Corporation #: _____ Date of Incorporation: _____

Stockholders, Members or Partners: (List no more than four individuals)

Name _____ Name _____

Name _____ Name _____

MUST BE SIGNED AND NOTORATIZED

I, _____, certify that I have read and understand all of the information on this entry and that all statements are true and correct to the best of my knowledge.
Print name of applicant

X _____
Applicant's signature, Title

The foregoing instrument was acknowledged before me this _____ day of _____, _____ Year
Day Month Year

_____ My commission expires on: _____
Signature of NOTARY PUBLIC

FOR DEPARTMENT USE ONLY

Date Received: _____

DLLC Rep.: _____/_____/_____

Receipt No. _____ Unacceptable: _____

Should a Random Selection Drawing be held, the assigned number will be mailed to the entrant by an independent accounting firm at the address provided on this Entry Form.

End of Entry Form

Entry Criteria for 2015 Liquor License Lottery To Apply for Issuance of Series 6, 7 and 9 Liquor Licenses

- I. **Entry Forms & Fees:** Entry forms, along with a \$100 non-refundable per entry fee, will be accepted by the Arizona Department of Liquor Licenses and Control beginning 8:00 a.m. Monday, May 11, 2015, through 3:00 p.m. Friday, June 5, 2015. Entries submitted after 3:00 p.m. Friday, June 5, 2015, will NOT be accepted. All entry forms and fees must be received, accepted and date-stamped by the department prior to 3:00 pm Friday, June 5, 2015. An application packet is no longer required, only the entry form and fee.

Entry Forms:

- a. are accepted by mail or in person at the
Arizona Department of Liquor (Industrial Commission of Arizona Building)
License Division
800 W. Washington, 5thFloor
Phoenix, Arizona 85007
Hours: open 8 – 5, M – F
closed 12 noon – 5, every Wednesday
- b. shall be printed in black ink or typewritten
- c. no person shall submit more applications than the number of licenses available for each series in a county.
- d. Non-refundable entry form fees are accepted in cash, check, money order, or cashiers check. Checks shall be made to the Arizona Department of Liquor. Credit cards are not accepted.

Reasons Entry Forms are Rejected/Returned:

- a. not notarized
- b. not completed in black ink
- c. areas are incomplete
- d. areas are illegible
- e. areas contain irrelevant information
- f. not signed
- g. not received prior to 3:00 p.m. Friday, June 5, 2015
- h. \$100 non-refundable deposit was not included
- i. \$100 non-refundable deposit check was returned for insufficient funds

- II. **Lottery Licenses & Fair Market Values:** Licenses offered in this lottery are listed on the Arizona Department of Liquor Licenses and Control web site (<http://www.azliquor.gov/lottery.cfm>), in the office located in the Industrial Commission of Arizona Building, 800 W. Washington, 5thFloor, Phoenix, Arizona 85007, and on this entry form.
- III. **Random Selection Drawing.** If the number of entrants exceed the number of licenses available in a county, a Random Selection Drawing will be conducted on Monday, June 22, 2015, at 10:00 a.m. in the auditorium of the Industrial Commission Building located at 800 W. Washington, Phoenix, Arizona 85007.

The random selection process will be overseen by an independent accounting firm that will:

- a. assign an ID number to each entrant to participate in the Drawing. Prior to the date of the Random Selection Drawing, the firm will mail, via U.S. Mail, assigned numbers to entrants address as it appears on the entry form.
- b. during the drawing, applicants and alternates will be drawn for each license series in each county.
- c. alternates shall be eligible to apply for the license in the event a successful applicant elects to withdraw, forfeit, or is disqualified. An list of alternate applicants will be maintained until all new licenses have been issued.
- d. applicants and alternates named in the random selection drawing will be posted within 24 hours of the Drawing on the Arizona Department of Liquor Licenses and Control website (<http://www.azliquor.gov/lottery.cfm>) and Department of Liquor offices which are located in the Industrial Commission of Arizona Building, 800 W. Washington, 5thFloor, Phoenix, Arizona 85007.

- IV. **Applicants Selected in the Drawing:** Upon completion of the Random Selection Drawing, selected applicants will be notified by U.S. Mail to the address as it appears on the entry form. Upon receipt of a completed application, questionnaire, fingerprint card, and fees the Department of Liquor will route the application to the appropriate local government for immediate posting at the proposed location and for processing. In addition to the state forms and fees, the local government may have their own application and fees.
- V. **Alternate Applicants:** Upon disqualification, withdrawal or forfeiture of an applicant selected in the Drawing, the option to apply for the license immediately goes to the alternate. The alternate will be notified by phone and U.S. Mail.

VI. **Disqualification, Withdrawal and Forfeiture:**

Disqualification: Applicants will be required to meet the qualifications of licensure before obtaining the license. (A.R.S. §4-202 and §4-203). When an applicant doesn't meet the qualifications, they are disqualified. Fees identified as "non-refundable" will not be returned.

Withdrawal: An applicant may withdraw at any time. Without exception, fees identified as "non-refundable" will not be returned.

Forfeiture: There are several ways to forfeit a lottery license. Here are some examples:

1. Without exception, when an entrant or applicant fails to pay fees in the manner and by the due dates described on this form, they forfeit the opportunity to apply.
2. Without exception, when an entrant fails to establish a physical location for the licensed business by Monday, May 28, 2018, they forfeit the opportunity to apply.

VII. **Fees:**

Non-refundable Fifty Percent (50%) Deposit: The non-refundable deposit of fifty percent (50%) of the fair market value of the license shall be paid (certified funds only) to the Department of Liquor before 3:00 p.m. Monday, October 5, 2015. Without exception, failure to submit payment will result in automatic forfeiture.

Non-refundable Final Payment: Due dates for final payment are different for:

1. **Business Location Established on Page 1 of this Entry Form:**

In this case the balance of the fair market value will be paid (certified funds only):

- a. on the first business day to occur 105 days after application is processed, or
- b. within (5) business days after the Department of Liquor notifies the applicant that the license is ready for issuance.
- c. In either case, without exception, failure to submit payment will result in an automatic forfeiture.

2. **Business Location Not Established on Page 1 of this Entry Form:**

In this case, the balance of the fair market value will be paid (certified funds only) on Monday, April 4, 2016 (the first business day 180 days after the fifty percent (50%) deposit is due). Without exception, failure to submit payment will result in automatic forfeiture.

2015 Lottery Fees & Due Dates Table

Lottery statute & rule: A.R.S. §4-206.01 and A.A.C. R19-1-204

Activity	Deadline
DLLC post lottery documents on website	Monday, May 4, 2015
Lottery entry period (4-weeks)	Monday, May 11 through Friday, June 5, 2015
Random selection drawing (commence @ 10 a.m.)	Monday, June 22, 2015, 10a.m.
DLLC post randomly selected applicants on website	Tuesday, June 23, 2015
Selected applicants submit non-refundable 50% deposit (by 3 p.m., first day of business 105 days after drawing)	Monday, October 5, 2015
Non-refundable final fees and license issue date for entries with a pre-determined business location	First business day which occurs 105 days after application (not the Entry Form) is processed or when ready for issuance
Non-refundable final balance due for entries without a pre-determined business location (the balance of the fair market value is due on the first business day which occurs 180 days after the 50% deposit payment was due)	Monday, April 4, 2016
All completed applications (which includes a physical address for your liquor licensed business, fingerprints, questionnaires, manager's agreements, etc.) must be received by the department no later than 36 months after the random selection drawing was conducted	Monday, May 28, 2018
Lottery notes regarding local governing bodies: <ul style="list-style-type: none"> • local governments must post lottery entry applications for 20 days. • local governments have 60-days to submit recommendation regarding lottery applicants to DLLC. • when DLLC receives a local government recommendation, a 15-day waiting period must pass prior to DLLC issuing the license. 	

(* indicates a non-refundable fee)

County	Series 6 (Bar)	Series 7 (Beer & Wine Bar)	Series 9 (Liquor Store)
Maricopa	6 available	6 available	7 available
Fair Market Value	\$ 71,750.00	\$ 13,700.00	\$ 226,500.00
50% Deposit*	\$ 35,875.00	\$ 6,850.00	\$113,250.00
Final Payment*	\$ 35,875.00	\$ 6,850.00	\$113,250.00
Application Fee*	\$ 100.00	\$ 100.00	\$ 100.00
Fingerprint Fee*	\$22 / \$35	\$22 / \$35	\$22 / \$35
Issuance Fee**	vary	vary	vary
Local Gov Fees	Contact Local Gov	Contact Local Gov	Contact Local Gov
Pima	1 available	1 available	2 available
Fair Market Value	\$ 27,650.00	\$10,500.00	\$71,500.00
50% Deposit*	\$13,825.00	\$ 5,250.00	\$35,750.00
Final Payment*	\$13,825.00	\$ 5,250.00	\$35,750.00
Application Fee*	\$ 100.00	\$ 100.00	\$ 100.00
Fingerprint Fee*	\$22 / \$35	\$22 / \$35	\$22 / \$35
Issuance Fee**	vary	vary	vary
Local Gov Fees	Contact Local Gov	Contact Local Gov	Contact Local Gov
Yuma	1 available	0 available	0 available
Fair Market Value	\$57,900.00	NA	NA
50% Deposit*	\$28,950.00	NA	NA
Final Payment*	\$28,950.00	NA	NA
Application Fee*	\$ 100.00	NA	NA
Fingerprint Fee*	\$22 / \$35	NA	NA
Issuance Fee**	vary	vary	vary
Local Gov Fees	Contact Local Gov	Contact Local Gov	Contact Local Gov

* non-refundable fees

**fees will vary based on the date the license is issued. Link to fees: http://www.azliquor.gov/forms/lic_licensefeeandtype.pdf.