



Arizona Department of Liquor Licenses and Control
 800 W Washington 5th Floor
 Phoenix, AZ, 85007-2934
 www.azliquor.gov
 (602) 542-5141

FOR DLLC USE ONLY

Date DLLC Approval:

Liquor License #:

CSR:

APPLICATION FOR DIRECT SHIPMENT LICENSE

A.R.S. §4-203.04

A service fee of \$25.00 will be charged for all dishonored checks (A.R.S. § 44-6852)

A DIRECT SHIPMENT LICENSE IS VALID FOR THREE YEARS. DIRECT SHIPMENT LICENSES MAY NOT BE RENEWED OR TRANSFERRED. A PERSON WHO HOLDS A DIRECT SHIPMENT LICENSE MAY APPLY FOR A NEW LICENSE NOT MORE THAN NINETY DAYS BEFORE EXPIRATION OF A CURRENT LICENSE.

SECTION 1 Application type:

- New License
- Agent Change

SECTION 2 Ownership type:

- Individual
- Partnership Name _____
- Corporation, LLC Name _____
- Other(Explain) _____

SECTION 3

1. Individual Owner/Agent's Name: _____
 (Insert one name ONLY to appear on license) Last First Middle
2. Business Name: _____
 (Exactly as it appears on the exterior of premises)
3. Business Location Address: _____
 (Do not use PO Box) Street City State Zip
4. Mailing Address: _____
 (Where all correspondence will be mailed) Street City State Zip
5. Business Phone: (____) _____ Daytime Phone: (____) _____
6. Federal ATF Permit Number: _____ Your State License Number: _____
7. Email Address: _____

ATTACH A COPY OF YOUR LICENSE THAT PERMITS YOU TO DEAL IN SPIRITUOUS LIQUOR IN YOUR HOME STATE

SECTION 4 All questions MUST be answered.

1. Have you EVER had an application for any business, profession, or liquor license rejected, denied, suspended, or revoked? Yes No
2. Has anyone EVER filed suit or obtained a judgment against you in a civil action, the subject of which involved fraud or misrepresentation of a liquor license? Yes No
3. Have you EVER had a criminal conviction in any state or foreign jurisdiction? Yes No
4. If you have answered "yes" to questions 1, 2, or 3 of this section, give complete details and attach an additional sheet if necessary. _____

SECTION 4 (continued)

- 5. Are you familiar with Arizona's state liquor regulation A.A.C. R19-1-504(D)? Yes No
- 6. Are you familiar with Arizona's direct shipment privileges, requirements and penalties? (A.R.S. §4-203.04) Yes No
- 7. Do you agree to notify the Department of Liquor of any proposed changes to ownership or information provided on your original application prior to making any such changes? Yes No
- 8. Do you agree to keep all records, invoices, and other documents relating to the purchase, sale or delivery of spirituous liquor for a period of two years and to keep those records easily accessible for examination? Yes No
- 9. If you have answered "no" to questions 5, 6, 7, 8 or of this section, give complete details and attach an additional sheet if necessary. _____

FALSE OR INCOMPLETE ANSWERS COULD RESULT IN THE DENIAL OR SUBSEQUENT REVOCATION OF A LICENSE OR PERMIT

SECTION 5 WHOLESALER/DISTRIBUTOR INFORMATION

- 1. Provide the names and addresses of the wholesaler(s)/distributor(s) licensed in Arizona that have received shipments from you within the previous three years and the number of shipments made to each. Attach a separate sheet.
- 2. Provide the names and addresses of the non-licensed wholesaler(s)/distributor(s) in Arizona that have received shipments from you within the previous three years and the number of shipments made to each. Attach a separate sheet.

IMPORTANT INFORMATION

Without exception, only three (3) types of Arizona liquor licenses permit the licensee to take orders via Internet and ship liquor to a private residence or non-liquor licensed business in Arizona. The three license types are, 1) the In-state Farm Winery (series 13); 2) the Out-of-state Farm Winery (series 2W); and 3) and the Out-of-state Limited Winery (series 2L).

I, _____, hereby declare that I am the APPLICANT filing this application.
(Print full name)

I have read this application, the contents and Declare all statements are true, correct and complete. I acknowledge that shipment into Arizona contrary to A.R.S. §4-203.04 will result in the immediate suspension of the Direct Shipment License.

X _____
(Signature of Applicant)

NOTARY

State of _____ County of _____
State County

The foregoing instrument was acknowledged before me this _____ day of _____, _____.
Day Month Year

My commission expires on _____
Day/ Month/Year Signature of NOTARY PUBLIC

A.R.S. § 41-1030. Invalidity of rules not made according to this chapter; prohibited agency action; prohibited acts by state employees; enforcement; notice

B. An agency shall not base a licensing decision in whole or in part on a licensing requirement or condition that is not specifically authorized by statute, rule or state tribal gaming compact. A general grant of authority in statute does not constitute a basis for imposing a licensing requirement or condition unless a rule is made pursuant to that general grant of authority that specifically authorizes the requirement or condition.

D. THIS SECTION MAY BE ENFORCED IN A PRIVATE CIVIL ACTION AND RELIEF MAY BE AWARDED AGAINST THE STATE. THE COURT MAY AWARD REASONABLE ATTORNEY FEES, DAMAGES AND ALL FEES ASSOCIATED WITH THE LICENSE APPLICATION TO A PARTY THAT PREVAILS IN AN ACTION AGAINST THE STATE FOR A VIOLATION OF THIS SECTION.

E. A STATE EMPLOYEE MAY NOT INTENTIONALLY OR KNOWINGLY VIOLATE THIS SECTION. A VIOLATION OF THIS SECTION IS CAUSE FOR DISCIPLINARY ACTION OR DISMISSAL PURSUANT TO THE AGENCY'S ADOPTED PERSONNEL POLICY.

F. THIS SECTION DOES NOT ABROGATE THE IMMUNITY PROVIDED BY SECTION 12-820.01 OR 12-820.02.